

COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31ST DECEMBER, 2022

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Aparna Goel, Company Secretary and Compliance Officer of Dhampur Sugar Mills Limited, aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non - tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1 event during the quarter ended 31st December, 2022 and has captured 1 event as required.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: **Not Applicable**

For Dhampur Sugar Mills Limited

Aparna Goel
Company Secretary
M. No. - 22787

Date: 13-01-2023
Place: New Delhi