

**11.04.2023**

To,  
The Manager - Listing  
National Stock Exchange of India Ltd.  
Exchange Plaza, Bandra Kurla Complex  
Bandra (East)  
Mumbai - 400 051  
Tel No. 022-2659 8237 /38  
Symbol: DHAMPURSUG

The General Manager – DSC  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort,  
Mumbai - 400001  
Tel No.022-22722039/37/3121  
Security Code: 500119

Dear Sir/Madam

**Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Please find enclosed herewith a certificate as required under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended March 31, 2023.

You are requested to kindly take the information on record.

Thanking you,

Yours faithfully,

For **Dhampur Sugar Mills Limited**

**Aparna Goel**  
Company Secretary  
M. No: 22787

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE YEAR ENDED MARCH 31, 2023**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents of Dhampur Sugar Mills Limited (CIN: L15249UP1933PLC000511), having registered office at Dhampur, Distt Bijnore (UP) maintained by M/s Alankit Assignments Limited (Share Transfer Agent of Dhampur Sugar Mills Limited), having office at Jhandewalan Extn. New Delhi pertaining to transfer of equity shares of the company from April 01, 2022 to March 31, 2023 for the purpose of issuing Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. Based on the information provided by the Share Transfer Agent, we hereby certify that the Share Transfer Agent has delivered during the year ended on March 31, 2023:

- A) Share Certificate(s) relating to the transfer of shares received during the period from April 01, 2022 to March 31, 2023 as entered in the Memorandum of Transfers, have been issued within stipulated time for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) During the period, duplicate share certificate were issued in 17 (Seventeen) cases containing 1381 shares within stipulated time.
- C) During the period, no consolidation of share certificates took place.
- D) During the period, no case for sub-division, renewal, exchange of certificates and endorsement for payment of call / allotment money.
- E) No other information

For GSK & Associates  
Company Secretaries  
FRN: P2014UP036000



Saket Sharma  
(Partner)  
C.P. No: 2565  
M. No: F4229  
UDIN: F004229E000056886

Date: 11.04.2023  
Place: New Delhi